

07-30-01

PTO/SB/05 (4/98)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Type a plus (+) sign in this box → +

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new non-provisional applications under 37 C.F.R. § 1.53(B))

Attorney Docket No.

L13.12-0158/01-153

First Inventor or Application Identifier

Kenton T. Dalton

Title

DDESIGN SYSTEM UPGRADE MIGRATION

Express Mail Label No.

EL844346387US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

Address To:

Assistant Commissioner for Patents

Box Patent Application

Washington, DC 20231

1. ☒ *Fee Transmittal Form e.g., PTO/SB17
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Sheets **22**]
(preferred arrangement set forth below)
- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. § 113) [Total Sheets **3**]
4. Oath or Declaration [Total Sheets **3**]
a. ☒ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 17 completed)
i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission
(If applicable, all necessary)
a. ☐ Computer Readable Copy
b. ☐ Paper Copy (Identical to computer copy)
c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s)) ✓
8. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
9. ☐ English Translation Document
10. ☐ Information Disclosure Statement (IDS/PTO - PTO) ☐ Copies of IDS
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
13. ☐ *Small Entity ☐ Statement filed in prior application. Status still proper and desired (PTO/SB/09-12)
14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
15. ☒ Request and Cert. Under 35 USC 122 (Non-Pub)
16. ☒ Other: File Data Sheet

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in part (CIP) of prior application No: _____

Prior application information:

Examiner _____

Group/Art Unit: _____

FOR CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE

☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☒ Correspondence address below

Name	Leo J. Peters				
	LSI LOGIC CORPORATION				
Address	1551 McCarthy Boulevard				
	M/S D-106				
City	Milpitas	State	CA	Zip Code	95035
Country	USA	Telephone	(408) 433-4578	Fax	(408) 433-7770

Name (Print/type)	Robert M. Angus	Registration No. (Attorney/Agent)	24,383
Signature	<i>Robert M. Angus</i>	Date	7/27/01

FEE TRANSMITTAL

Complete if Known

Application No.	
Filing Date	HEREWITH
First Named Inventor	Kenton T. Dalton
Title	DESIGN SYSTEM UPGRADE MIGRATION
Group Art Unit	
Examiner Name	
Atty. Docket Number	L13.12-015/01-153

Total Amount of Payment \$ 710

METHOD OF PAYMENT (Check One)

1. ☒ The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. 12-2252.

2. ☐ Check Enclosed

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee	Small Entity Fee	Code	Fee Description
101	355	101	Utility Filing Fee
106	160	106	Design Filing Fee
108	355	108	Reissue Filing Fee
114	75	114	Prov. Filing Fee
Subtotal (1) \$ 710			

2. EXTRA CLAIM FEES

Number Claims	Prior**	Extra	Fee from Below	Fee Paid
Total	20	20	18	0
Indep.	3	3	80	0

Multiple Dependent Claims

** Insert 3 and 20, or number previously paid if greater; Reissue see below

Large Entity Fee	Small Entity Fee	Code	Fee Description
103	9	103	Claims in excess of 20
102	40	102	Independent claims in excess of 3
104	135	104	Multiple Dependent Claims
109	40	109	Reissue Independent Claims over Original Patent
110	9	110	Reissue claims in excess of 20 and over original patent

Subtotal (2) \$ 0

FEE CALCULATION (Continued)

3. ADDITIONAL FEES

Large Entity Fee	Small Entity Fee	Code	Fee Description	Fee Paid
105	65	105	Surcharge - Late filing fee or oath	
127	25	127	Surcharge - Late provisional Filing Fee or cover sheet	
139	130	139	Non-English specification	
147	2,520	147	For Filing a Request for Reexamination	
115	55	115	Extension for reply within first month	
116	195	116	Extension for reply within second month	
117	445	117	Extension for reply within third month	
118	695	118	Extension for reply within fourth month	
128	945	128	Extension for reply within fifth month	
120	155	120	Filing a brief in support of an appeal	
121	135	121	Request for oral hearing	
148	55	148	Terminal Disclaimer Fee	
140	55	140	Petition to Revive - unavoidable	
141	620	141	Petition to Revive - unintentional	
142	650	142	Utility/Reissue issue fee (inc. advance copies)	
143	250	143	Design issue fee (inc. advance copies)	
122	130	122	Petitions to the Commissioner	
123	50	123	Petitions related to provisional applications	
126	240	126	Submission of Information Disclosure Statement	
581	40	581	Recording each patent assignment per property (times number of properties)	
Other Fee (specify) _____				

Subtotal (3) \$

Signature Robert M. Angus
(Robert M. Angus)

Reg. No. 24,383Date July 23, 2001Deposit Account No. 12-2252

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)	First Named Inventor	Kenton T. Dalton
	Title	DESIGN SYSTEM UPGRADE MIGRATION
	Atty Docket Number	L13.12-0158/01-153

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

7/27/2001

Date


Signature

Robert M. Angus

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.